

FOLIO^{fn},

DEDICATED TO HELPING PEOPLE INVEST BETTER

ABOUT FOLIO^{fn}

FOLIO^{fn} is a financial services and technology company that delivers leading-edge investment products and business solutions to financial professionals, and financial institutions for the benefit of their clients. Through its registered broker-dealer subsidiary, FOLIO^{fn} Investments, the company offers an innovative stock basket-trading capability and state-of-the-art execution, clearance, and settlement services.

Founded in 1998 by Steven Wallman, a former Commissioner of the U.S. Securities and Exchange Commission, FOLIO^{fn} Institutional provides extensive resources and tools to investment advisors to help their clients invest, better, smarter, and easier.

OUR MISSION

FOLIO^{fn}'s mission is simple, but ambitious. We want to transform the financial services industry to benefit you, the investor, and change the way you think about investing.

OUR VALUES

We have built our company around three core values:

- We will provide investors with leading-edge innovation, offering better ways to invest made possible by the power of technology.
- We will act with the highest possible integrity and openness. No matter what the issue, we will always do our best to provide full disclosure and eliminate jargon and legalese.
- We are committed to educating investors and helping them make better investment decisions.

COMMITTED TO PROTECTING OUR CUSTOMERS

FOLIO^{fn} is a member of the Securities Investor Protection Corporation (SIPC), a non-profit corporation that provides account protection and insurance to customers of member broker-dealers. SIPC will cover accounts up to \$500,000. Through Lloyd's of London, FOLIO^{fn} Institutional customers receive additional account protection.

FOLIO^{fn}
What's Next?

