ACCOUNT INFORMATION

For

FOLIO*fn* Account Application

Complete and return to:

Wind River Advisors, LLC
Mail: PO Box 982858, Park City, UT 84098

Account # ____________________

1 **ACCOUNT TYPE**

☐ Individual ☐ Traditional IRA ☐ IRA Rollover ☐ Roth IRA ☐ SEP IRA ☐ Custodial (UGMA/UTMA)

☐ Joint with Right of Survivorship ☐ Joint - Tenants in Common ☐ Joint - Community Property ☐ Revocable Trust ☐ Corporate

2 **ACCOUNT HOLDER INFORMATION**

Account Title/Name	Social Security / Tax ID Number	Date of Birth

Home Address: Street/City/State/Zip Code (no P.O. Boxes)	Country of Citizenship other than U.S.

Mailing Address: Street/City/State/Zip Code (if different)	E-mail Address (required)

Daytime Telephone Number	Evening Telephone Number	Citizenship Status (select one)
		U.S. Citizen Resident Alien Non-Resident Alien

Are you a director, 10% shareholder or policy-making officer of a publicly traded company?	No Yes	Are you employed by a broker/dealer?	No Yes

Employer/Occupation	Employer Address: Street/City/State/Zip Code

3 **JOINT ACCOUNT HOLDER INFORMATION**

Account Title/Name	Social Security / Tax ID Number	Date of Birth

Home Address: Street/City/State/Zip Code (no P.O. Boxes)	Country of Citizenship other than U.S.

Mailing Address: Street/City/State/Zip Code (if different)	E-mail Address (if different than above)

Daytime Telephone Number	Evening Telephone Number	Citizenship Status (select one)
		U.S. Citizen Resident Alien Non-Resident Alien

Are you a director, 10% shareholder or policy-making officer of a publicly traded company?	No Yes	Are you employed by a broker/dealer?	No Yes

Employer/Occupation	Employer Address: Street/City/State/Zip Code

4 **ACCOUNT DETAIL**

Client User Name (Choose - use at least 8 characters, not case sensitive)	Client Password (Choose - use at least 6 characters, not case sensitive)

Money Market Fund (select one)

☐ FDIC Sweep Money Market Fund ☐ Tax-Exempt Money Fund (if available)

Tax-Lot Tracking Option (select one)

☐ First In, First Out ☐ Minimize Gain / Maximize Loss ☐ Minimize Long-Term Gain ☐ Minimize Short-Term Gain

☐ Last In, First Out ☐ Maximize Gain / Minimize Loss ☐ Maximize Long-Term Gain ☐ Maximize Short-Term Gain

Sector Exclusions (circle if you want to exclude companies in any of the following sectors)

Consumer Staples Consumer Discretionary Retail/Wholesale Medical Auto/Tires/Trucks Basic Materials Industrial Products

Construction Conglomerates Computer/Technology Aerospace Oil/Energy Finance Utilities Transportation Business Services

Social Issue Exclusions (circle if you want exclude companies that are involved in the following areas)

Alcohol Tobacco Firearms Gambling Military Weapons Nuclear Power

Specific Company Exclusions (enter any companies you wish to exclude)

5 ASSET ALLOCATION MODEL SELECTION

Select One (see www.WindRiverAdvisors.com/strategies for more detail)

- ☐ Absolute Return Growth — Growth with moderate capital preservation. Focus on Dynamic and Equity Strategies
- ☐ Absolute Return Income — Income with some growth and capital preservation. Focus on Dynamic and Fixed-Income Strategies
- ☐ Growth — Long-term capital appreciation. A focus on equity-based strategies
- ☐ Moderate — Long-term appreciation and current income. A mix of equity and fixed-income based strategies
- ☐ Conservative (Taxable) — Current income with some growth. Weighted toward fixed-income based strategies
- ☐ Conservative (Tax-Advantaged) — Current income with some growth. Weighted toward fixed-income based strategies
- ☐ Current Income (Taxable) — Current income with capital preservation. Focus on fixed-income based strategies
- ☐ Current Income (Tax-Advantaged) — Current income with capital preservation. Focus on fixed-income based strategies
- ☐ Custom. Select the Strategies to utilize and the percent to invest in each. Strategies must total 100%. There is a maximum of 10 Strategies and 25% permitted per strategy unless otherwise approved by Wind River Advisors (except short-term fixed income and core fixed income).

________ Dynamic Beta	________ Select Equity	________ Short-Term Fixed Income
________ Dynamic Global Macro	________ Focused 13D	________ Short-Term Fixed Income (Tax-Exempt)
________ Dynamic US Equity	________ Equity Opportunity	________ Core Fixed Income
________ Dynamic Commodity	________ Small Cap Value	________ Core Fixed Income (Tax-Exempt)
________ Dynamic Bond / FX	________ Focused International Equity	
________ Dynamic High Yield	________ Select REIT	
________ Dynamic Bond Plus	________ Focused Analyst Growth	
________ Dynamic Tax-Exempt Plus		
________ Dynamic Long/Short Hedge		

6 BENEFICIARY DESIGNATION (RETIREMENT ACCOUNTS)

Primary Beneficiary or Beneficiaries	Relationship	Date of Birth	Social Security / Tax ID Number	Share %

If none of the primary beneficiaries survives me, pay any interest I may have to the following Alternative beneficiaries or the survivor(s) thereof:

Alternative Beneficiary or Beneficiaries	Relationship	Date of Birth	Social Security / Tax ID Number	Share %

I understand that the beneficiaries may be changed at any time by filing a new designation with FOLIO*fn*

7 SIGNATURES

By signing this Application, you certify that the information is correct and acknowledge that you have **read and agree to the terms and conditions of the FOLIO*fn* Investments Customer Agreement** and the **Wind River Advisors Agreement** and that you have had an opportunity to review the Advisor's Form ADV Pt. II (all found at www.WindRiverAdvisors.com/strategies). **Sign and return this Application and pages 1-2 of the FOLIO*fn* Customer Agreement.**

Account Holder Signature	Date	Joint Account Holder Signature	Date

8 DEPOSIT

Initial Deposit Amount $ ______________________ (Make check payable to FOLIO*fn* Investments, Inc.)

☐ Enclosed. Send to: Wind River Advisors LLC, PO Box 982858, Park City, UT 84098

☐ Will Send directly to FOLIO*fn* Investments. Wind River Advisors will notify you of the new account number to submit with deposit.
Mail check to: FOLIO*fn* Investments, Inc., PO Box 19338A, Newark, NJ 07195-0338
Overnight delivery to: FOLIO*fn* Investments, Inc., 8000 Towers Crescent Dr, Ste. 1500, Vienna, VA 22182, Attn: Cash Management

☐ Account transfer. Enclose copy of statement of account to be transferred and mail or fax to Wind River Advisors LLC (fax #435-649-4639).